

14042 U.S. PTO **UTILITY**  
**PATENT APPLICATION**  
**TRANSMITTAL**

012904

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	UK03-002	Total Pages	2
First Named Inventor or Application Identifier: Cotter, David			
Title: Optical Communication Networks And Methods Of Controlling Them			
Express Mail Label No.	EV 327190173 US		

**CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:**  
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on January 29, 2004  
(Date)  
Signature Juliana Agon  
Juliana Agon

"EXPRESS MAIL" Mailing Label No. EV 327190173 US

**ADDRESS TO:** Mail Stop Patent Application  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

22278 U.S. PTO  
101768569

- ☒ \* Fee Transmittal Form  
(Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages 10]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 2]
- Oath or Declaration [Total Pages 4]
  - ☒ Executed (original or copy)
  - ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - ☐ Computer Readable Copy
  - ☐ Paper Copy (identical to computer copy)
  - ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☐ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Other:

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No

Prior application information: Examiner:

Group / Art Unit:

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label 22928 or ☐ Correspondence address below

NAME	Ronald J. Paglierani				
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	(607) 974-3332	FAX	(607) 974-3848
Name (Print/Type)	Juliana Agon			Registration No. (Attorney/Agent)	33,468
Signature	<u>Juliana Agon</u>			Date	<u>January 29, 2004</u>

# FEE TRANSMITTAL

4042 U.S. PTO For FY 2003



012904

## Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Cotter, David, et al.
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	UK03-002

TOTAL AMOUNT OF PAYMENT (\$856.00)

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-3325

Deposit Account Name Corning Incorporated

- ☒ Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Fee	Fee	Fee Description	Fee Paid
Code	(\$)			

1001	770	Utility filing fee	770.00
1002	340	Design filing fee	
1003	530	Plant filing fee	
1004	770	Reissue filing fee	
1005	160	Provisional filing fee	

SUBTOTAL (1) (\$770.00)

#### 2. EXTRA CLAIM FEES

Total Claims	Extra	Fee from	Claims	below	Fee Paid
12	- 20** =	x 18	=	00.00	
Independent Claims	4	- 3** = 1	x 86	=	86.00
Multiple Dependent	0	=	0.00		

\*\*or number previously paid, if greater; For Reissues, see below

Large	Entity	Fee	Fee	Fee Description
Code	(\$)			
1202	18	Claims in excess of 20		
1201	86	Independent claims in excess of 3		
1203	290	Multiple dependent claim, if not paid		
1204	86	** Reissue independent claims over original patent		
1205	18	** Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2) (\$86.00)

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity	Fee	Fee	Fee Description	Fee Paid
Code	(\$)			
1051	130	Surcharge - late filing fee or oath		
1052	50	Surcharge - late provisional filing fee or cover sheet		
1053	130	Non-English specification		
1812	2,520	For filing a request for reexamination		
1804	920*	Requesting publication of SIR prior to Examiner action		
1805	1,840	Requesting publication of SIR after Examiner action		
1251	110	Extension for reply within first month		
1252	420	Extension for reply within second month		
1253	950	Extension for reply within third month		
1254	1,480	Extension for reply within fourth month		
1255	2,010	Extension for reply within fifth month		
1401	330	Notice of Appeal		
1402	330	Filing a brief in support of an appeal		
1403	290	Request for oral hearing		
1451	1,510	Petition to institute a public use proceeding		
1452	110	Petition to revive - unavoidable		
1453	1,330	Petition to revive - unintentional		
1501	1,330	Utility issue fee (or reissue)		
1502	480	Design issue fee		
1503	640	Plant issue fee		
1460	130	Petitions to the Commissioner		
1807	50	Petitions related to provisional applications		
1806	180	Submission of Information Disclosure Stmt		
8021	40	Recording each patent assignment per property (times number of properties)		
1809	770	Filing a submission after final rejection (37 C.F.R. § 1.129(a))		
1810	770	For each additional invention to be examined (37 C.F.R. § 1.129(b))		
1801	770	Request for Continued Examination (RCE)		
1802	900	Request for expedited examination of a design application		

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

### SUBMITTED BY

### Completed (if applicable)

Name (Print/Type)	Juliana Agon	Registration No. (Attorney/Agent)	33,468
Signature		Date	January 29, 2004